

Audit, Governance and Standards Committee

Monday 27 February 2017

7.00 pm

Ground Floor Meeting Room G02C - 160 Tooley Street, London SE1 2QH

Membership

Councillor Paul Fleming (Chair)
Councillor James Barber (Vice-Chair)
Councillor Catherine Dale
Councillor Nick Dolezal
Councillor Renata Hamvas
Councillor Hamish McCallum
Councillor Andy Simmons

Reserves

Councillor Evelyn Akoto
Councillor Dora Dixon-Fyle MBE
Councillor Karl Eastham
Councillor David Hubber
Councillor Sarah King
Councillor Rosie Shimell
Councillor Cleo Soanes

INFORMATION FOR MEMBERS OF THE PUBLIC

Access to information

You have the right to request to inspect copies of minutes and reports on this agenda as well as the background documents used in the preparation of these reports.

Babysitting/Carers allowances

If you are a resident of the borough and have paid someone to look after your children, an elderly dependant or a dependant with disabilities so that you could attend this meeting, you may claim an allowance from the council. Please collect a claim form at the meeting.

Access

The council is committed to making its meetings accessible. Further details on building access, translation, provision of signers etc for this meeting are on the council's web site: www.southwark.gov.uk or please contact the person below.

Contact

Victoria Foreman on 020 7525 5485 or email: victoria.foreman@southwark.gov.uk

Members of the committee are summoned to attend this meeting

Eleanor Kelly

Chief Executive

Date: 17 February 2017



Audit, Governance and Standards Committee

Monday 27 February 2017

7.00 pm

Ground Floor Meeting Room G02C - 160 Tooley Street, London SE1 2QH

Order of Business

Item No.	Title	Page No.
-----------------	--------------	-----------------

PART A - OPEN BUSINESS

THE CHAIR WOULD LIKE TO REMIND MEMBERS THAT PRIOR TO THE MEETING THEY HAVE THE OPPORTUNITY TO INFORM OFFICERS OF PARTICULAR AREAS OF INTEREST RELATING TO REPORTS ON THE AGENDA, IN ORDER FOR OFFICERS TO UNDERTAKE PREPARATORY WORK TO ADDRESS MATTERS THAT MAY ARISE DURING DEBATE.

1. APOLOGIES FOR ABSENCE

To receive any apologies for absence.

2. CONFIRMATION OF VOTING MEMBERS

A representative of each political group will confirm the voting members of the committee.

3. NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT

In special circumstances, an item of business may be added to an agenda within five clear days of the meeting.

4. DISCLOSURE OF INTERESTS AND DISPENSATIONS

Members to declare any personal interests and dispensation in respect of any item of business to be considered at this meeting.

Item No.	Title	Page No.
5.	MINUTES	1 - 6
	To approve as a correct record the minutes of the open section of the meeting held on 16 November 2016.	
6.	GOVERNANCE TOPIC: UPDATE ON HUMAN RESOURCES AND IT	7 - 13
	The update on IT will be given verbally at the meeting.	
7.	PROGRESS REPORT ON THE WORK OF THE INTERNAL AUDIT AND ANTI-FRAUD TEAMS FOR THE PERIOD 18 OCTOBER TO 31 JANUARY 2017	14 - 32
8.	INTERNAL AUDIT PLAN FOR 2017-18 AND UPDATED FIVE YEAR STRATEGY FROM 2017-18 TO 2021-22	33 - 72
9.	ANNUAL REPORT TO THE AUDIT, GOVERNANCE AND STANDARDS COMMITTEE ON THE COUNCIL'S RISK REGISTER	73 - 78
10.	DIGITAL GOVERNANCE AND ENGAGEMENT	79 - 89
11.	ANNUAL REPORT ON THE WORK AND PERFORMANCE OF THE AUDIT, GOVERNANCE AND STANDARDS COMMITTEE IN 2016-17	90 - 102
12.	DRAFT WORK PROGRAMME FOR 2017-18	103 - 112
13.	GRANT THORNTON AUDIT PLANS FOR SOUTHWARK COUNCIL AND SOUTHWARK PENSION FUND AUDITS 2016-17	113 - 151
14.	GRANT THORNTON - INFORMING THE AUDIT RISK ASSESSMENT FOR SOUTHWARK COUNCIL AND SOUTHWARK PENSION FUND	152 - 170
15.	GRANT THORNTON CERTIFICATION LETTER - YEAR ENDED 31 MARCH 2016	171 - 174
16.	GRANT THORNTON PROGRESS REPORT AND UPDATE YEAR ENDED 31 MARCH 2017	175 - 192
17.	REVIEW OF CONTRACT STANDING ORDERS	193 - 264
18.	REPORT ON THE OPERATIONAL USE OF THE REGULATION OF INVESTIGATORY POWERS ACT 2000	265 - 269

Item No.	Title	Page No.
19.	REPORT ON THE OFFICE OF SURVEILLANCE COMMISSIONERS INSPECTION REPORT	270 - 281
20.	REVIEW OF THE COMPLAINTS MADE UNDER THE CODE OF CONDUCT	282 - 286
21.	UPDATE ON CHIEF AUDIT EXECUTIVE	287 - 288
22.	CONSTITUTIONAL CHANGES - CIVIC AWARDS SUB-COMMITTEE	289 - 290

ANY OTHER OPEN BUSINESS AS NOTIFIED AT THE START OF THE MEETING AND ACCEPTED BY THE CHAIR AS URGENT

EXCLUSION OF PRESS AND PUBLIC

The following motion should be moved, seconded and approved if the sub-committee wishes to exclude the press and public to deal with reports revealing exempt information:

“That the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraphs 1-7, Access to Information Procedure rules of the Constitution.”

Date: 17 February 2017